

# Daniel Paul Carpenter

Allie S. Freed Professor of Government  
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Date and Place of Birth: April 19, 1967; Milwaukee, Wisconsin, United States of America.

## Education

Ph. D. Political Science, *The University of Chicago*, June 1996

Dissertation: “The Evolution of Corporate Attachment and Administrative Capacity in Executive Departments, 1862-1932.” Committee: John F. Padgett (chair), John Mark Hansen, Bernard Silberman, Andrew Abbott.

Received the 1998 *Harold D. Lasswell Award* from the American Political Science Association for the best dissertation in public policy completed in 1996 or 1997.

A.M. Political Science, *The University of Chicago*, June 1991.

M.A. Thesis: “Plato’s *Gorgias* and Democratic Rhetoric,” Department of Political Science, The University of Chicago, April 1991.

A.B. Honors Government, *Georgetown University College of Arts and Sciences*, Washington, D.C., May 1989, *cum laude*. Passed Honors Comprehensive Examination with Distinction. Senior Thesis: “Psychology and Virtue in Aristotle and Mill.”

Graduate of Elk Rapids High School, Elk Rapids, Michigan (1985).

Other Training: Postdoctoral Training Fellowship in Health Policy, University of Michigan School of Public Health, completed June 2000.

## Academic Employment and Positions (non-visiting)

Harvard University: Allie S. Freed Professor of Government (2007 - )  
Chair, Department of Government (2022-2025)  
Director, Social Sciences Program, Radcliffe Institute for Advanced Study (2013 – 2020, 2021-2022)  
Director, Center for American Political Studies (2006 - 2015)  
Professor of Government (2002 - 2007)

University of Michigan: Assistant Professor of Political Science, 1998-2001. Associate Professor of Political Science, 2001-2002; Lecturer, Gerald R. Ford School of Public Policy, 2000-2002; Primary Research Scientist, Center for Political Studies, 2001-2002.

## *Carpenter Curriculum Vitae*

Princeton University: Assistant Professor of Politics, 1996-98

University of Chicago: Morton Grodzins Prize Lecturer, Spring 1994

## **Visiting Professorships and Positions**

Université de Paris-Dauphine, Paris, France; Professeur invité, Spring 2015. Postponed to a future academic year.

L'École des Hautes Études en Science Sociales, Paris, France: Professeur invité, Spring 2014.

Université de Strasbourg, France : Chercheur Visitant/Professeur Invité, L'Institut d'Études Politiques (Sciences Po Strasbourg), 2011-13.

## **Books**

*Democracy by Petition: Popular Politics in Transformation, 1790-1870* (Cambridge, Massachusetts: Harvard University Press, 2021).

- Received *The 2022 J. David Greenstone Prize* of the American Political Science Association for the best book in politics and history.
- Received *The 2022 Seymour Martin Lipset Prize* of the American Political Science Association to honor a significant contemporary contribution to the scholarship on Canadian politics, or Canada in a comparative perspective, or a comparative analysis of Canada with other countries.
- Received *The 2022 James P. Hanlan Book Award* of the New England Historical Association.
- Selected as one of *The Five Democracy Books from 2021 You Must Read* by *Democracy Paradox*.
- Received the *Walter Channing Cabot Award*, Harvard University Faculty of Arts and Sciences, for achievements and scholarly eminence in the fields of literature, history or art.

*Preventing Regulatory Capture: Special Interest Influence in Regulation and How To Limit It* (editor, with David Moss, and author or co-author, four chapters); (New York: Cambridge University Press, 2013).

*Reputation and Power: Organizational Image and Pharmaceutical Regulation at the FDA* (Princeton: Princeton University Press, 2010).

- Received *The 2011 Allan Sharlin Memorial Award* of the Social Science History Association, presented annually for an outstanding book in social science history published in the previous year.
- Four-star rating, *British Medical Journal*, March 2011.
- Received the *Walter Channing Cabot Award*, Harvard University Faculty of Arts and Sciences, for achievements and scholarly eminence in the fields of literature, history or art.
- Chinese translation, 2014.

*The Forging of Bureaucratic Autonomy: Networks, Reputations and Policy Innovation in Executive Agencies, 1862-1928* (Princeton: Princeton University Press, 2001).

- Received *The 2002 Gladys Kammerer Award* of the American Political Science Association, for the best book on U.S. national policy published in 2001.
- Received *The 2002 Charles Levine Award* of the International Political Science Association, for the best book on public administration and public policy published in 2001.

## Book Manuscripts Under Preparation

*First Petitioners: The Saga of Native American Advocacy* (The Julian J. Rothbaum Distinguished Lectures in Representative Government, 2017); under contract with University of Oklahoma Press.

*What is a Republic? Office and Assembly, Majority and Plurality, Liberty and Virtue*

*How Regulation Makes Markets: Prices, Confidence, Fairness.*

**Publications in Peer-Reviewed Journals.** [Where noted [P], the following were published in accordance with double-blind peer review. Where noted [S], they were published according to single-blind peer review.]

“Lawyers as Lobbyists: Regulatory Advocacy in American Finance” (with Brian Libgober), *Perspectives on Politics* (2024). Published online 2024:1-20. doi:10.1017/S1537592723002943

“Just How Much of History is Countable?” *Political Science Quarterly* (06 November 2023) <https://doi.org/10.1093/psquar/qqad117>

“The Revolving Door in Health Regulation” (with Genevieve P. Kanter), *Health Affairs* 42 (9) <https://doi.org/10.1377/hlthaff.2023.00418> [P]

“Agenda Democracy,” *Annual Review of Political Science* 26 (2023) 193–212.

“Securing the Trustworthiness of the FDA to Build Public Trust in Vaccines” (with Leah Rand, Anushka Bhaskar, Aaron Kesselheim, Jonathan Darrow, William Feldman), in “Time to Rebuild: Essays on Trust in Health Care and Science,” ed. Lauren A. Taylor, Gregory E. Kaebnick, and Mildred Z. Solomon, special report, *Hastings Center Report* 53, no. 5 (2023): S60–S68. DOI: [10.1002/hast.1525](https://doi.org/10.1002/hast.1525) [S]

“What Should Be Clinicians’ Roles in Regulatory Assessment of Prospective Interventions’ Risks of Exacerbating Inequity?” (with Anushka Bhaskar), *American Medical Association Journal of Ethics* 2023; 25 (3): E204-209. doi: 10.1001/amajethiucs.2023.204. [P]

“Strategic Realism, not Optimism: Bayesian and Indigenous Perspectives on the Democratizing Petition,” *Social Science History* 47 (1) (Spring 2023) 160-66. <https://doi.org/10.1017/ssh.2022.37>  
Open Access URL: <https://www.cambridge.org/core/journals/social-science-history/article/strategic-realism-not-optimism-bayesian-and-indigenous-perspectives-on-the-democratizing-petition/4DEC575723ADD9E9F63F2D07980DCD76>

“The Popular Origins of Legislative Jurisdictions: Petitions and Standing Committee Formation in Colonial Virginia and the Early U.S. House” (with Benjamin Schneer, Tobias Resch and Maggie Blackhawk), *Journal of Politics* 84 (3) (July 2022) 1727-1745. <https://doi.org/10.1086/716285> [P]

“Congressional Representation by Petition: Assessing the Voices of the Voteless in a Comprehensive New Database, 1789-1949,” (with Maggie Blackhawk, Tobias Resch and Benjamin Schneer). *Legislative Studies Quarterly*, 46 3 (August 2021): 817-849. <https://doi.org/10.1111/lsq.12305> [P]

“Quand le pétitionnement contribue au façonnage de l’Agenda Politique : L’Abolition de la Tenure Seigneuriale au Canada Français, 1849-1854,” *Participations*, no. 28, (2020/3) pages 205 à 219. [P]  
<https://www.cairn.info/revue-participations-2020-3-page-205.htm>

“Data and Methods for Analyzing Special Interest Influence in Rulemaking” (with Devin Judge-Lord, Brian Libgober and Steven Rashin), *Interest Groups and Advocacy* (2020)  
<https://doi.org/10.1057/s41309-020-00094-w> [P]

“On Categories and the Countability of Things Bureaucratic: Turning from Wilson (back) to Interpretation,” *Perspectives on Public Management and Governance* 3 (2) (June 2020) 83-93.  
<https://doi.org/10.1093/ppmgov/gvz025> [P]

“La réputation organisationnelle de l’État fédéral dans un contexte général de malaise politique,” *Revue française d’administration publique*, 170 (2) (2019) 385-396. [S]

“*L’éruption patriote*: The Revolt against Dalhousie and the Petitioning Explosion in Nineteenth-Century French Canada” (with Doris Brossard), *Social Science History* 43 (Fall 2019) 453-485. [P]

- Finalist for the 2020 William Koren, Jr. Prize of the Society for French Historical Studies, for the most outstanding article on any period of French history published the previous year by a scholar appointed at a college or university in the United States or Canada.

“U.S. Nationwide Disclosure of Industry Payments and Public Trust in Physicians” (with Genevieve Pham-Kanter, Lisa Lehmann and Michelle Mello), *Journal of the American Medical Association – JAMA Network Open* 2019; 2(4):e191947. doi:10.1001/jamanetworkopen.2019.1947 [S]

“Effect of the Public Disclosure of Industry Payments Information on Patients: Results from a Population-Based Natural Experiment” (with Genevieve Pham-Kanter, Lisa Lehmann and Michelle Mello), *British Medical Journal – BMJ Open* 2019;9:e024020. doi: 10.1136/bmjopen-2018-024020 [S]

“Suffrage Petitioning as Formative Practice: American Women Presage and Prepare for the Vote, 1870-1920” (with Tobias Resch, Zachary Popp, Benjamin Schneer and Nicole Topich), *Studies in American Political Development* 32 (1) (May 2018), 24-48. [P]

- Received the Arline Custer Memorial Award of the Mid-Atlantic Regional Archives Conference for 2018.

“Paths of Recruitment: Rational Social Prospecting in Petition Canvassing” (with Clayton Nall and Benjamin Schneer), *American Journal of Political Science* 62 (1) (January 2018) 192-209;  
<http://onlinelibrary.wiley.com/doi/10.1111/ajps.12305/full> [P]

- Received the *American Journal of Political Science* Best Paper Award for Volume 62 (2018).

“FDA Transparency in an Inescapably Political World,” *Journal of Law, Medicine and Ethics* 45 (2) (supplement) 29-32 Article first published online: January 10, 2018; Issue published: December 1, 2017 <https://doi.org/10.1177/1073110517750617>. [S]

“Tobacco industry response and outcomes of a ban on lights descriptors on cigarette packaging” (with Hillel Alpert and Gregory N. Connolly), *Tobacco Control*, 2017; 0:1–9.  
doi:10.1136/tobaccocontrol-2017-053683 [S]

“Scott Gottlieb and the Credibility of U.S. Therapeutics,” *New England Journal of Medicine* 376:e30 (April 13, 2017); <http://www.nejm.org/doi/full/10.1056/NEJMp1701738>

“Public Awareness of and Contact with Physicians Who Receive Industry Payments: A Survey,” with Genevieve Pham-Kanter, Michelle Mello, Eric G. Campbell and Lisa Lehmann. *Journal of General Internal Medicine*, published online March 6, 2017. doi: 10.1007/s11606-017-4012-3. [S]

“Statutory Clarity and Regulatory Precedent on Substantial Equivalence Standards in Tobacco Governance: Implications for Understanding Sections 905 and 910 of the FSPTCA” (with Gregory Connolly and Lauren Kass-Lempert), *Journal of Health Politics, Policy and Law*, 42 (4) (August 2017), forthcoming. Published ahead of print, November 18, 2016; doi: 10.1215/03616878-3774188 [<http://jhppl.dukejournals.org/content/early/2016/11/18/03616878-3774188.full.pdf+html>] [P]

“Failure of Investigational Drugs in Late-Stage Clinical Development and Publication of Trial Results” (with Thomas J. Hwang, Aaron Kesselheim, Jessica M. Franklin, Bo Wang, and Julie C. Lauffenberger), *JAMA-Internal Medicine*, doi:10.1001/jamainternmed.2016.6008 (published online October 10, 2016). [S]

“Sovereignty and Survivance – The Pathways of Native Politics,” *Perspectives on Politics*, 14 (4) (December 2016), 1039-1041.

“Recruitment by Petition: American Abolitionism, French Protestantism, English Suppression,” *Perspectives on Politics* 14 (3) (September 2016), 700-723. [P]

“Internal Governance of Agencies: The Sieve, the Shove, the Show,” *Harvard Law Review* (Forum), 129 (2016) 189-96; <http://harvardlawreview.org/2016/03/internal-governance-of-agencies-the-sieve-the-shove-the-show/>

“Party Formation Through Petitions: The Whigs and the Bank War of 1832-34” (with Benjamin Schmeer), *Studies in American Political Development* 29 (2) (October 2015), 213-34. [P]

“Substandard and falsified drugs: A threat to human and global security” (with Lawrence O. Gostin and Margareth Ndomondo-Sigonda), *Lancet* 385 (9980) (9 May 2015) 1893-1894. Part of David L. Heymann, et al, “Global health security: the wider lessons from the West African Ebola virus disease epidemic,” 1884-1901; [http://www.thelancet.com/journals/lancet/article/PIIS0140-6736\(15\)60858-3/fulltext](http://www.thelancet.com/journals/lancet/article/PIIS0140-6736(15)60858-3/fulltext)

“Accelerating innovation for rapid diagnostics and targeted antibacterials,” (with Thomas J. Hwang, John H. Powers and Aaron S. Kesselheim), *Nature Biotechnology*, 33 (6) (June 2015) 589-90. [S]

“Paying for Innovation: Reimbursement Incentives for Novel Antibiotics” (with Thomas J. Hwang and Aaron S. Kesselheim), *Science Translational Medicine*, 7, 276fs9 (2015). [S]

“Transactional Authority and Bureaucratic Politics” (with George Krause), *Journal of Public Administration Research and Theory* 25 (1) (2015) 5-25; doi:10.1093/jopart/muu012 [S]

“When Canvassers Became Activists: Antislavery Petitioning and the Political Mobilization of American Women” (with Colin D. Moore), *American Political Science Review*, 108 (3) (August 2014), 479-498. Lead article of August 2014 issue; doi:[10.1017/S000305541400029X](https://doi.org/10.1017/S000305541400029X). [P]

Received the 2015 Mary Parker Follett Award of the Politics and History Section of the American Political Science Association, for the Best Article in Politics and History in 2014.

“Strengths and Weaknesses in the Case for SEC Capture” (with Laurence Tai), *Law and Financial Markets Review* 8 (3) (September 2014) 227-240. [S]

“Accounting for Financial Innovation and Borrower Confidence in Financial Rulemaking: Analogies from Health Policy,” *Journal of Legal Studies* 43 (S2) (2014) S331-S349. [S]

“A Systematic Review of State and Manufacturer Physician Payment Disclosure Websites: Implications for Implementation of the Sunshine Act” (with Alison Hwang, Noor Caragholi, Steven Joffe, Eric Campbell, and Lisa Lehmann), *Journal of Law, Medicine & Ethics* 42 (2) (Summer 2014): 208-219. [published online July 16, 2014; DOI: 10.1111/jlme.12136] [S]

“Target small firms for antibiotic innovation” (with Thomas J. Hwang and Aaron S. Kesselheim), *Science*, 344 (30 May 2014) 6187, 687-89. [S]

“Assessment of US pathway for approving medical devices for rare conditions” (with Thomas J. Hwang and Aaron S. Kesselheim), *British Medical Journal (BMJ)* 2014 348:g217 <http://dx.doi.org/10.1136/bmj.g217>. [S]

“Quantifying The Food and Drug Administration’s Rulemaking Delays Highlights The Need For Transparency” (with Thomas J. Hwang, Jerry Avorn and Aaron S. Kesselheim), *Health Affairs* 33 (2) (February 2014) 309-15. [P]

“Keeping Tabs on Financial Innovation: Product Identifiers in Consumer Financial Regulation” (with Patricia McCoy), *North Carolina Banking Institute Journal*, volume 18 (Fall 2013) 195. [S]

“Time Series Analyses of the Effect of FDA Communications on Use of Prescription Weight Loss Medications” (with Jason Block, Will Shrank, et al.), *Obesity* 2013. doi: 10.1002/oby.20596 [S]

“Can Expedited FDA Drug Approval without Expedited Follow-Up Be Trusted?” *JAMA Internal Medicine*, 174 (1) (2014):95-97. doi:10.1001/jamainternmed.2013.9202 (published online October 28, 2013).

“Is Health Politics Different?” *Annual Review of Political Science* 15 (2012): 287-311 [DOI: 10.1146/annurev-polisci-050409-113009] [S].

“Warnings without Guidance: Patient Responses to an FDA Warning about Ezetimibe” (with William Shrank, Troyen Brennan, Josh Liberman, Christopher Roebuck, Susan Moffitt, and Julie Sle Zack), *Medical Care* 50 (6) (June 2012) 479-84. [S]

“Reputation and Public Administration” (with George Krause), *Public Administration Review* 72 (1) (January/February 2012) 26-32. [S]

Selected (2014) as one of the top 75 articles published in the *Public Administration Review* since 1939  
[<http://publicadministrationreview.org/full-list/>]

“The Complications of Controlling Agency Time Discretion: Deadlines and FDA Drug Review” (with Jacqueline Chattopadhyay, Susan Moffitt and Clayton Nall), *American Journal of Political Science*, 56 (1) (January 2012) 98-114 [DOI: 10.1111/j.1540-5907.2011.00544.x]. [P]

Earlier version distributed as *Robert Wood Johnson Foundation Scholars in Health Policy Working Paper #35*.

“Reputation and Precedent in the Bevacizumab Decision,” with Aaron Kesselheim and Steven Joffe, *New England Journal of Medicine* (10.1056/nejmp1107201), published online June 27, 2011. [S]

“A Unique Physician Identifier for the Physician Payments Sunshine Act” (with Steven Joffe), *Journal of the American Medical Association*, 305 (19) (May 19, 2011) 2007-8. [S]

“Bioequivalence: The Regulatory Career of a Pharmaceutical Concept” (with Dominique Tobbell), *Bulletin of the History of Medicine* 85 (1) (Spring 2011) 93-131. [P]

Received the 2013 J. Worth Estes Prize of the American Association for the History of Medicine, for best article in the history of pharmacology and therapeutics.

“Approval Regulation and Endogenous Consumer Confidence: Theory and Analogies to Licensing, Safety and Financial Regulation” (with Justin Grimmer and Eric Lomazoff), *Regulation and Governance* 4 (4) (December 2010) 383-407. [P]

“Institutional Strangulation: Bureaucratic Politics and Financial Reform in the Obama Administration,” *Perspectives on Politics*, 8 (3) (September 2010), 825-46.

Revised and updated version published in Lawrence Jacobs and Desmond King, eds., *Obama at the Crossroads* (New York: Oxford University Press, 2011).

“Early-Entrant Protection in Approval Regulation: Theory and Evidence from FDA Drug Review,” (with Susan Moffitt, Colin Moore, Ryan Rynbrandt, Michael Ting, Ian Yohai, and Evan James Zucker), *Journal of Law, Economics and Organization*, 26 (2) (Fall 2010) [e-published April 2009 at doi: [10.1093/jleo/ewp002](https://doi.org/10.1093/jleo/ewp002)]. [S]

“U.S. Pharmaceutical Innovation in an International Context,” (with Salomeh Keyhani, Steven Wang, Paul Hebert, and Gerard Anderson), *American Journal of Public Health*, 100 (6) (June 2010) 1075-1080; <http://ajph.aphapublications.org/cgi/doi/10.2105/AJPH.2009.178491>. [S]

“A Formal Model of Learning and Policy Diffusion” (with Craig Volden and Michael Ting), *American Political Science Review* 102 (3) (August 2008), 319-32. [P]

“Drug Review Deadlines and Subsequent Safety Problems” (with Evan James Zucker and Jerry Avorn), *New England Journal of Medicine*, 358 (13) (March 27, 2008) 1354-61. [S]

Errata and Corrected Estimates: *New England Journal of Medicine* 359 (1) (July 3, 2008) 95-98.

Initial results presented at the annual meeting of the American Association for the Advancement of Science (AAAS), St. Louis, Missouri, April 2005; also presented at the FDA-NIH Management Conference, College Park, Maryland, May 2005. Selected as one of the 25 most influential research articles of 2008; Robert Wood Johnson Foundation.

“Policy Tragedy and the Emergence of Regulation: The Food, Drug and Cosmetic Act of 1938” (with Gisela Sin), *Studies in American Political Development*, 21 (2) (Fall 2007) 149-180. [P]

Reprinted in William S. Comanor and Stuart S. Schweitzer, *Pharmaceutical Economics* (London: Edward Elgar Publishing, 2014).

“Regulatory Errors with Endogenous Agendas” (with Michael Ting), *American Journal of Political Science* 51 (4) (October 2007) 835-853. [P]

Earlier version distributed as *Robert Wood Johnson Foundation Scholars in Health Policy Working Paper #30*.

“The Leaning Tower of PISA: Fundamental Problems in Ignorance-Based Theories of State Autonomy,” *Critical Review*, 2007.

“Whose Deaths Matter? Mortality, Identity, and Attention to Disease in the Mass Media” (with Elizabeth Armstrong and Marie Hojnacki), *Journal of Health Politics, Policy and Law*, 31 (4) (Aug 2006): 729-772. [P]

Received the 2007 Eliot Freidson Award for Best Publication, Medical Sociology Section, American Sociological Association. One of JHPPL’s “Top Papers of 2006.” Finalist, NIHCM Foundation Annual Health Care Research Award.

“Reputation, Gatekeeping and the Politics of Post-marketing Drug Regulation,” *Virtual Mentor* [American Medical Association] 8 (June 2006): 403-406.

“A Modest Proposal for Financing Postmarketing Drug Safety Studies by Augmenting FDA User Fees,” *Health Affairs – Web Exclusive* W5-469 (October 18, 2005). [P]

“The Political Logic of Regulatory Error” (with Michael Ting), *Nature Reviews – Drug Discovery*, 4 (10) (October 2005): 819-823. [S]

“Protection without Capture: Product Approval by a Politically Responsive, Learning Regulator,” *American Political Science Review* 98 (4) (November 2004), 613-631. [P]

Reprinted in *Health, Politics and Policy*, Sue Tollison-Rinehart and Mark Peterson, eds., (Sage, 2010).

“Gatekeeping and the FDA’s Role in Human Subjects Protection,” *Virtual Mentor* [American Medical Association] 6 (November 2004), URL at: [ama-assn.org](http://ama-assn.org)

“Political Learning from Rare Events: Poisson Inference, Fiscal Constraints, and the Lifetime of Bureaus” (with David Lewis), *Political Analysis* 12 (3) (Summer 2004), 201-232. [P]

“Staff Resources Speed FDA Drug Review,” *Journal of Health Policy, Politics and Law* 29 (3) (June 2004) 431-442.

“Accelerating Approval Times for New Drugs in the U.S.” (with A. Mark Fendrick), *The Regulatory Affairs Journal – Pharma*, 15 (6) (June 2004) 411-417.

“The Political Economy of FDA Drug Approval: Processing, Politics and Implications for Policy,” *Health Affairs* 23 (1) (January/February 2004): 52-63. [P]

“Approval Times for New Drugs: Does the Source of Funding for FDA Staff Matter?” (with Dean Smith, Michael Chernew and A. Mark Fendrick), *Health Affairs*, Web Exclusive, December 17, 2003, W3-618-624. (Abstract available in January/February 2004 issue.) [P]

“Friends, Brokers and Transitivity: Who Talks with Whom in Washington Lobbying?” (with Kevin Esterling and David Lazer), *Journal of Politics* 66 (1) (February 2004): 224-246. [P]

“Information and Contact-Making in Policy Networks: A Model with Evidence from the U.S. Health Policy Domain” (with Kevin Esterling and David Lazer), *Rationality and Society*, 15 (4) (November 2003): 411-440. [P]

“Executive Power in American Institutional Development,” (with Keith Whittington), *Perspectives on Politics* 1 (3) (September 2003): 495-513. [P]

Received the 2000 Martha Joynt Kumar Award for Best Convention Paper relating to the Presidency delivered at the 1999 American Political Science Association meetings, Atlanta.

“The Multiple and Material Legacies of Stephen Skowronek,” *Social Science History* 27 (3) (Fall 2003): 465-474.

“The Impact of a Celebrity Promotional Campaign on the Use of Colon Cancer Screening: The Case of Katie Couric” (with Peter Cram, A. Mark Fendrick, Sandeep Vijay, and John Inadomi), *Archives of Internal Medicine*, Volume 63 (July 14, 2003): 1601-1605. [P]

“Groups, the Media, Agency Waiting Costs, and FDA Drug Approval,” *American Journal of Political Science* 46 (2) (July 2002): 490-505. [P]

Robert Wood Johnson Foundation Scholars in Health Policy Working Paper #21.

Received *The Pi Sigma Alpha Award* for Best Paper of the 2000 Midwest Political Science Association annual national convention.

Nominated by the Midwest Public Administration Section for the Robert Durr Award (best methodological paper, 2000 convention).

“The Political Foundations of Bureaucratic Autonomy: A Reply to Kernell” *Studies in American Political Development*, 15 (1) (Spring 2001): 113-122. [S]

“State Building through Reputation Building: Policy Innovation and Coalitions of Esteem at the Post Office, 1883-1912,” *Studies in American Political Development* 14 (2) (Fall 2000): 121-55. [P]

“From Patronage to Policy: The Centralization Campaign in Iowa Post Offices, 1880-1910,” *Annals of Iowa* (Summer 1999). [P]

“The Strength of Weak Ties in Lobbying: Evidence from Health Care Politics in the United States” (with Kevin Esterling and David Lazer), *Journal of Theoretical Politics*, 10 (4) (October 1998), 417-44. [P]

“Centralization and the Corporate Metaphor in Executive Departments, 1880-1928,” *Studies in American Political Development*, 12 (1) (Spring 1998): 106-147. [P]

“Adaptive Signal Processing, Hierarchy, and Budgetary Control in Federal Regulation,” *American Political Science Review*, 90 (2) (June 1996): 283-302. [P]

Received *The Herbert Kaufman Award* for Best Paper presented in the Public Administration Section, American Political Science Association annual meetings, August 1992, Chicago.

Received the *Best Paper Award*, Executive Politics Section, American Political Science Association annual meetings, August 1992, Chicago.

### Chapters and Entries in Edited Volumes

“On the Origins of the Administrative Petition: Innovations in Nineteenth-Century Native America,” in Jerry Mashaw and Nicholas Parrillo, ed., *Administrative Law from the Inside Out* (New Haven: Yale University Press, 2017).

“Completing the Constitution: How Progressive-Era Economic Regulation Perfected Article I, Section 8,” in Bruce Ackerman, Stephen Engel and Stephen Skowronek, eds., *The Progressives’ Century* (New Haven: Yale University Press, 2016).

“The Drug Efficacy Study and Its Manifold Legacies” (with Jeremy Greene and Susan Moffitt), in Holly Fernandez Lynch and I. Glenn Cohen, editors, *The FDA in the 21<sup>st</sup> Century: The Challenges of Regulating Drugs and New Technologies* (New York: Columbia University Press, 2015).

“Contested Boundaries of Representation: Patterns of Transformation in Black Petitioning in Massachusetts, 1770-1860” (with Nicole Topich), in Emmanuelle Avril and Johann Neem, eds., *Democracy, Participation and Contestation: Civil Society, Governance and the Future of Liberal Democracy* (Routledge, 2014).

“The Food and Drug Administration,” in *Companion to American Politics*, David Coates, ed., Oxford University Press.

“Representation at a Visual Interface: Institutions as Encounters Between Early American Government and Her Citizens,” in Partha Chatterjee and Ira Katznelson, eds., *Anxieties of Democracy: Tocquevillean Reflections on India and the United States* (New York: Oxford University Press, 2012).

“The Contest of Lobbies and Disciplines: Financial Politics and Regulatory Reform in the Obama Administration,” in Lawrence Jacobs and Theda Skocpol, eds., *Reaching for a New Deal: Obama’s Agenda and the Dynamics of U.S. Politics*, (New York: Oxford University Press and Russell Sage Foundation, 2011).

“Reputation, Information and Confidence – The Political Economy of Pharmaceutical Regulation,” in Daniel Farber and Anne Joseph O’Connell, editors, *Public Choice and Public Law* (Northampton, Massachusetts: Edward Elgar Publishing, 2010).

“Confidence Games: How Does Regulation Constitute Markets?” in Edward Balleisen and David Moss, editors, *Towards a New Theory of Regulation* (New York: Cambridge University Press, 2009). Part of the Tobin Project on Economic Regulation.

“Regulation,” in Michael Kazin, editor, *The Princeton Encyclopedia of Political History* (Princeton: Princeton University Press, 2009).

“Robust Action and the Strategic Use of Ambiguity in a Bureaucratic Cohort: FDA Scientists and the Investigational New Drug Regulations of 1963,” with Colin D. Moore. In *Formative Acts: American Politics in the Making*, ed. Matt Glassman and Stephen Skowronek, University of Pennsylvania Press, 2007. [Reviewed in *Perspectives on Politics*, September 2008.]

“The Evolution of National Bureaucracy in the United States,” Chapter Two (pp. 41-71) in Joel D. Aberbach and Mark Peterson, eds., *The Institutions of American Democracy: The Executive Branch* (New York: Oxford University Press, 2005).

Received [for volume] *the 2006 Richard Neustadt Prize, for Best Reference Work on the Presidency.*

“Why Do Bureaucrats Delay? Lessons from a Stochastic Optimal Stopping Model of Product Approval,” forthcoming, Kenneth Meier and George Krause, eds., *Scientific Approaches to Bureaucratic Politics*, (Ann Arbor: University of Michigan Press, 2003).

“Stochastic Prediction and Estimation of Nonlinear Political Durations: An Application to the Lifetime of Bureaus,” in *Political Complexity*, ed. Diana Richards, (Ann Arbor: University of Michigan Press, 2000).

### **Published Commentary and Other Publications** [not academically edited; selected]

“Why COVID Vaccines for Kids Are Taking So Long,” *New York Times*, September 20, 2021.

“The Menthol Cigarette Ban Shows ‘There’s No Democracy Without Petitions,’” *Boston Review*, May 11, 2021; <https://bostonreview.net/articles/the-menthol-cigarette-ban-shows-there-is-no-democracy-without-petitions/>

“Political war-gaming for the republic,” *Boston Globe*, September 21, 2020; <https://www.bostonglobe.com/2020/09/21/opinion/political-war-gaming-republic/>

“American Democracy is in the Mail,” *Boston Review*, September 10, 2020; <http://bostonreview.net/politics-law-justice/daniel-carpenter-american-democracy-mail>

“Here’s what Pelosi could do if Republicans tried to manipulate the presidential election’s outcome,” *Washington Post* (Monkey Cage), August 5, 2020; <https://www.washingtonpost.com/politics/2020/08/05/heres-what-pelosi-could-do-if-republicans-tried-manipulate-presidential-elections-outcome/>

Daniel Carpenter and Darrick Hamilton, “There’s only one way out of the COVID-19 Crisis. It’s time for a new New Deal,” *Slate*, May 4, 2020; <https://slate.com/news-and-politics/2020/05/covid-19-recession-new-deal-plan.html>

Daniel Carpenter and Brian Libgober, “Lobbying the Rulemakers with Lawyers: Reflections from the Volcker Rule,” *Extensions* (Summer 2018) 4-9 ([https://issuu.com/cacextensions/docs/extensions\\_summer\\_2018](https://issuu.com/cacextensions/docs/extensions_summer_2018)).

“Yes, signing those petitions makes a difference – even if they don’t change Trump’s mind,” *Washington Post* (Monkey Cage), February 3, 2017; <https://www.washingtonpost.com/news/monkey-cage/wp/2017/02/03/yes-signing-those-petitions-makes-a-difference-even-if-they-dont-change-trumps-mind>

“Lawmakers must ask tough questions about the 21st Century Cures Act” (with Gregg Gonsalves and Joseph Ross), *The Hill*, November 21, 2016; <http://thehill.com/blogs/congress-blog/healthcare/307020-lawmakers-must-ask-tough-questions-about-the-21st-century>

“Principe de précaution et innovation médicamenteuse” (avec Jean-David Zeitoun), *Le Monde*, 31 mars 2015 ([http://www.lemonde.fr/sciences/article/2015/03/31/principe-de-precaution-et-innovation-medicamenteuse\\_4606696\\_1650684.html](http://www.lemonde.fr/sciences/article/2015/03/31/principe-de-precaution-et-innovation-medicamenteuse_4606696_1650684.html)).

“What Piketty Missed: The Banks,” *Washington Monthly*, March-April-May 2015 ([http://www.washingtonmonthly.com/magazine/marchaprilmay\\_2015/features/what\\_piketty\\_missed\\_the\\_banks054225.php](http://www.washingtonmonthly.com/magazine/marchaprilmay_2015/features/what_piketty_missed_the_banks054225.php)).

“How ordinary petitions helped end slavery and make women into political activists,” *Washington Post* (Monkey Cage), September 22, 2014 (<http://www.washingtonpost.com/blogs/monkey-cage/wp/2014/09/22/how-ordinary-petitions-helped-end-slavery-and-make-women-into-political-activists/>).

“Strengthen and Stabilize the FDA” (Comment), *Nature* 485 (May 10, 2012) 169-70.

“Free the F.D.A.,” *New York Times*, December 14, 2011.

“Deadline Washington / Why Washington Always Works Down to the Wire,” *Washington Post* (Outlook Section), July 24, 2011.

“Why Consumers Can’t Trust the Fed,” *New York Times*, March 17, 2010.

“How to Restore Consumer Confidence,” *Boston Globe*, September 9, 2009.

“Some Thoughts on the Relationship between Model and Narrative,” *Qualitative Methods*, APSA Qualitative Methods Section Newsletter, Summer 2007.

“Anvil or Wellspring? The Use of Narrative in Congressional Studies,” *Extension of Remarks*, Fall 2001.

### **Original Databases (selected)**

*The Digital Archive of Native American Petitions in Massachusetts* (under construction, funded by CLIR Grant 2016).

*The Digital Archive of Massachusetts Antislavery and Anti-Segregation Petitions* (under NEH grant PW-5105612), Principal Investigator. URL: <http://thedata.harvard.edu/dvn/dv/antislaverypetitionsma>

*The Congressional Antislavery Petitions Database*, Principal Investigator (with Colin D. Moore, University of Hawaii). A statistical database describing and documenting all antislavery petitions sent to the U.S. House of Representatives from 1833 to 1845 that are currently housed in the National Archives. doi:10.7910/DVN/27176UNF:5;jGyeJSzfojAJEJtZivZJ7w

*FDA Drug Approvals for New Molecular Entities, 1950-2008* (dataset used for *Reputation and Power: Organizational Image and Pharmaceutical Regulation at the FDA* (Princeton: Princeton University Press, 2010). URL: <https://dataverse.harvard.edu/dataset.xhtml?persistentId=hdl:1902.1/15653>

## **Book Reviews and Review Essays**

Review of Karen Orren and Stephen Skowronek, *The Policy State: An American Predicament*, for *Governance*.

Review of Paul Starr, *The Social Transformation of American Medicine* (Revised Edition with Afterword), for *Journal of Health Politics, Policy and Law*, Fall 2019.

“No Regulation, No Property,” review of Joseph Singer, *No Freedom without Regulation*, for *Washington Monthly*, spring 2016.

Review of Daniel Ernst, *Tocqueville’s Nightmare: The Administrative State Emerges in America, 1900-1940*, for *Perspectives on Politics*.

“Of Time, Deliberation and the Republic of Statutes,” review of William Eskridge and John Ferejohn, *Republic of Statutes*, for *Perspectives on Politics*, September 2012.

Review of Marc Rodwin, *Conflicts of Interest and the Future of Medicine: The United States, France and Japan* (Oxford University Press), for *Bulletin of the History of Medicine*.

“System Failure,” review of Kathleen Engel and Patricia McCoy, *The Subprime Virus: Reckless Credit, Regulatory Failure and Next Steps*, in *Democracy: A Journal of Ideas*, Fall 2011.

Review of Daniel Fox, *The Convergence of Science and Governance: Research, Health Policy, and American States*, in *Bulletin of the History of Medicine*, 84 (4) (Winter 2010), 706-707.

“Forcing Pharmaceutical History into Boxes,” review of Parrish, *Defining Drugs*; C. Scott Harrison, *The Politics of the International Pricing of Prescription Drugs*; Stephen Ceccoli, *Pill Politics*; Arthur Daemmrich, *Pharmacopolitics*; *Journal of Health Politics, Policy and Law*, August 2006.

“What Politics May Be Telling Us about Cost-Effectiveness Analysis,” review of Peter Neumann’s *Using Cost-Effectiveness Analysis to Improve Healthcare*, for *Health Affairs*, July/August 2005.

“A Polemic for Why Markets Need Cops,” review of Philip Hilts’ *Protecting America’s Health: The FDA, Business, and One Hundred Years of Regulation*, for *Health Affairs*, September/October 2003.

Review of David Epstein and Sharyn O’Halloran, *Delegating Powers*, for *Perspectives on Politics*, 1 (1) March 2003.

“Lesson, Method, Portraiture and Myth: Richard Bense’s *The Political Economy of American Industrialization*,” published on the University of Virginia’s Miller Center for American Political Development [[www.americanpoliticaldevelopment.org](http://www.americanpoliticaldevelopment.org)].

Review of Courtney Brown, *Serpents in the Sand: Essays on the Nonlinear Nature of Politics and Human Destiny*, for *American Journal of Sociology*, 86 (3) (1996): 286-288.

## **Working Papers & Manuscripts Under Review**

“Inequality in Administrative Democracy: Large-Sample Evidence from American Financial Regulation,” with Devin Judge-Lord, Steven Rashin, Brian Libgober, Susan Yackee, Angelo Dagonel, Jacob Waggoner and Christopher Kenny. Awarded the 2022 Herbert Kaufman Award for the best paper presented in the Public Administration Section of the 2021 APSA meetings.

“Indigenous Representation by Petition: Transformations in Haudenosaunee Complaint and Request, 1680-1760,” presented at the Yale Study Group on Native America and the American Politics Workshop, Yale (January 2015), the American Politics Workshop at Harvard (February 2015), the American Politics Workshop at Columbia (March 2015), the History and Culture Workshop, Harvard (March 2015), the APSA annual meetings, San Francisco (September 2015) and the Native American Studies and International History Workshop, Dartmouth College (November 2015).

“How Business Power Contests Conceptual Orders: The Strategic Reimagination of the Controlled Clinical Trial.”

“Raising Red Flags: Administrative Learning and Postmarket Safety Alerts at the FDA,” with Susan Moffitt, Jacqueline Chattopadhyay, and Clayton Nall.

« La pétition antiesclavagiste américaine au 19eme siècle -- Leçons et possibilités de recherches comparatives », presented at conference "Pétitionner : Appel aux Pouvoirs Législatifs aux 19<sup>e</sup> et 20<sup>e</sup> siècles," Assemblée Nationale, Paris, and Université Marne-la-Vallée, France, March 2013.

“Public Infrastructure and Political Mobilization: Canal Networks and Antebellum Social Movements,” with Colin Moore and Clayton Nall.

“Product Classifiers in Consumer Regulation: Notes Toward a Theory, with Applications to Retail Financial Epidemiology.”

“Are Close Elections Random?” with Brian Feinstein, Justin Grimmer and Eitan Hersh, presented at the annual meetings of the Midwest Political Science Association, April 2010.

“Abandonment of Drug Development in Regulatory Equilibrium: Estimates from Asset Price Shocks Induced by FDA Action,” with Jessica Blankshain, Nicholas Lillios, Susan Moffitt and Genevieve Pham-Kanter. Presented at the Harvard Working Group on Pharmaceutical Governance, June 2011; the annual meetings of the European Consortium for Political Research (ECPR), Reykjavik, Iceland, August 2011; Political Economy Workshop, Stanford GSB, January 2013.

“The Trouble with Deadlines,” with Justin Grimmer.

“A Simple Model of Placebo Learning with Self-Remitting Diseases.” Earlier versions presented at Harvard Medical School, for the RWJ Core Seminar in Health Policy, March 2004. Presented at the Center for the Advanced Study in the Behavior Sciences, Stanford, California, May 2004; Regulatory Policy Program, Kennedy School of Government, November 2005.

“The Calculus of Patient Advocacy in FDA Drug Review: A Model and a Narrative.” Presented at the Annual Meetings of the American Political Science Association, September 2006.

*Nominated by the Qualitative Methods Section for the Pi Sigma Alpha Award for Best Paper of the 2006 Convention. Nominated by the Qualitative Methods Section for the Sage Paper Award for the Best Qualitative Methods Paper of the 2006 APSA Convention.*

“Fundamental Problems in Linear Statistical Analyses of Drug Approval Times on Simple Policy Indicators,” with Danyank Karl Lok and Aaron R. Tjoa. *Robert Wood Johnson Foundation Scholars in Health Policy Working Paper #33*.

“Merit Reform, Networks and Bureaucratic Recruitment: The U.S. Department of Agriculture, 1880-1920.” Under revision.

*Received The Herbert Kaufman Award, for Best Paper presented in the Public Administration Section of the American Political Science Association annual meetings, August 1994, New York.*

### **Monographs, Incomplete Essays and Papers under Preparation for Review**

“Anxieties of Soliciting Empire: Transformations of the Petition across Languages and Peoples in the Saint Lawrence Valley and the Gulf of Maine, 1740-1840,” presented at the conference *Contested Democracy: Contestation and Participation in the English-Speaking World: A Critical Evaluation*, CREW (EA 4399), Institut du Monde Anglophone, Université Sorbonne Nouvelle - Paris 3, 20-22 September 2012.

“Reading 1614: Colonialism (Champlain and Quebec), Absolutism (Richelieu and Colbert), Republicanism (Sieyes and DeTocqueville).”

“Qu’est-ce que le pouvoir conceptuel de l’état régulateur?” (What is the Conceptual Power of the Regulatory State?)

“Networks, Scientific Ideology and Administrative Practice: The Sequential Careers of Frances Kelsey and the Premises of the Modern Pharmaceutical World.”

“A Theory of Approval Regulation,” with Mike Ting. Presented at the annual meetings of the Midwest Political Science Association, Chicago, Illinois, April 2002; presented at the Political Economy Seminar, Stanford GSB, March 2004; presented at the Industrial Organization workshop, Department of Economics, Harvard University, March 2004.

“Ambiguity and Commitment in Approval Regulation,” with Stephen Callander.

“A General Model of Placebo Learning: How More Patient Options Can Heighten Bias and Reduce Welfare.”

“Why Do Larger Firms Receive Quicker Drug Reviews?” with Brian Feinstein, Colin Moore, Marc Turenne and Evan James Zucker. Presented at the Harvard-MIT Workshop in Positive Political Economy, March 2001.

“Who Should Pay for Property Rights? A New Theory of Progressive Taxation.”

“The Normative Basis of Privacy in the Republican Political Tradition.”

“Optimal Stopping with Memory Constraints: A Note.”

“The Non-Neutrality of Organizational Memory: Optimal Stopping by Teams with Poisson Forgetting.”

“Networks, Professions and the Social Capital of Bureaucracy: Collaboration and Associations at the USDA, 1890-1930,” presented at the annual meetings of the International Social Networks Association (INSNA), Barcelona, Spain, May 1998.

“State Regulation as a Network Adoption Process: A Reanalysis of Stigler’s (1971) Trucking Data,” paper presented at the 1996 annual meetings of the Midwest Political Science Association, Chicago, Illinois.

### **Fellowships, Honorary Lectureships and National Societies**

Elected Member, *National Academy of Public Administration*, 2015-

Professeur invité, L’École des Hautes Études en Science Sociales, Paris, France, janvier 2014

Fellow, *Radcliffe Institute for Advanced Study*, Harvard University, Cambridge, Massachusetts, 2007-2008

Fellow, *John Simon Guggenheim Memorial Foundation*, 2007-2008

Fellow, *Center for Advanced Study in the Behavioral Sciences*, Stanford, California, 2003-2004

### **Named Lectureships and Keynote Addresses (selected)**

2023 *John Gaus Award Lecture*, American Political Science Association, Los Angeles, California.

2017 *Julian J. Rothbaum Distinguished Lecture Series in Representative Government*, University of Oklahoma.

2015 *Dr. Martin A. Entin Lecture in the History of Medicine*, McGill University, Montreal, Canada.

2015 *Regis J. Fallon Lecture on Health and Law*, University of Chicago.

2013 *John P. McGovern Award Lecture in Family, Health and Human Values*, University of Houston.

2013 “Conceptual Power and Cultural Capture in Regulation,” keynote lecture for Structure of Governance Conference, Hebrew University of Jerusalem, Israel, January 4, 2013.

2013 *J. Worth Estes, M.D., History of Medicine Lecture*, Harvard Medical School.

2012 “Recruitment by Petition: Historical, Statistical and Mathematical Dimensions,” keynote address for Augustin Cournot Doctoral Days, Université de Strasbourg, France, May 9, 2012.

2011 “L’entreprise et le pouvoir conceptuel de l’État régulateur.” (Business and the Conceptual Power of the Regulatory State.) Concluding lecture to European Science Foundation Pharmaceutical Network’s *Conference on Standardization and Drug Use Outside the Pharmacy*, Lyon, France. December 2011.

2011 *Herbert Simon Award Lecture on the Scientific Study of Bureaucracy*, Chicago, Illinois.

## **Fellowships and Research Awards**

*The 2023 John Gaus Award*, for career contributions to the study of political science and public administration, American Political Science Association.

*The 2022 James P. Hanlan Book Award* of the New England Historical Association, for the best book in 2021 by a New England author, for *Democracy by Petition: Popular Politics in Transformation, 1790-1870* (Cambridge, Massachusetts: Harvard University Press, 2021).

*The 2022 Seymour Martin Lipset Prize* of the American Political Science Association, to honor a significant contemporary contribution to the scholarship on Canadian politics, or Canada in a comparative perspective, or a comparative analysis of Canada with other countries, for *Democracy by Petition: Popular Politics in Transformation, 1790-1870* (Cambridge, Massachusetts: Harvard University Press, 2021).

*The 2022 J. David Greenstone Prize* of the Politics and History Section of the American Political Science Association for the best book in politics and history published in 2021, for *Democracy by Petition: Popular Politics in Transformation, 1790-1870* (Cambridge, Massachusetts: Harvard University Press, 2021).

*2022 Walter Channing Cabot Fellow*, awarded by the Faculty of Arts and Sciences, Harvard University, to “selected faculty members in recognition of their achievements and scholarship in the fields of literature, history or art, as such terms may be liberally interpreted...,” for *Democracy by Petition: Popular Politics in Transformation, 1790-1870* (Harvard, 2021).

*The 2022 Herbert Kaufman Award*, for Best Paper presented in the Public Administration Section of the American Political Science Association annual meetings, September 2021, for “Inequality in Administrative Democracy: Large-Sample Evidence from American Financial Regulation.”

*The American Journal of Political Science Best Paper Award for Volume 62 (2018)*, awarded in 2019 for “Paths of Recruitment: Rational Social Prospecting in Petition Canvassing” (with Clayton Nall and Benjamin Schmeer) [*American Journal of Political Science* 62 (1) (January 2018) 192-209].

*The 2015 Mary Parker Follett Award* of the Politics and History Section of the American Political Science Association, for the Best Article in Politics and History in 2014 (with Colin D. Moore, University of Hawaii), for “When Canvassers Became Activists: Antislavery Petitioning and the Political Mobilization of American Women” *American Political Science Review* August 2014.

*Carpenter Curriculum Vitae*

*The 2013 J. Worth Estes Prize* of the American Association for the History of Medicine, for best article in the history of pharmacology and therapeutics, for “Bioequivalence: The Regulatory Career of a Medical Concept,” *Bulletin of the History of Medicine* (2011), with Dominique Tobbell.

*The 2011 Allan Sharlin Memorial Award* of the Social Science History Association, presented annually for an outstanding book in social science history published in the previous year, for *Reputation and Power: Organizational Image and Pharmaceutical Regulation at the FDA* (Princeton University Press, 2010).

*2011 Walter Channing Cabot Fellow*, awarded by the Faculty of Arts and Sciences, Harvard University, to “selected faculty members in recognition of their achievements and scholarship in the fields of literature, history or art, as such terms may be liberally interpreted...,” for *Reputation and Power: Organizational Image and Pharmaceutical Regulation at the FDA* (Princeton, 2010).

*The 2011 David Collier Mid-Career Achievement Award*, from the APSA Section for Qualitative and Multi-Method Research, presented to “a mid-career political scientist to recognize distinction in methodological publications, innovative application of qualitative and multi-method approaches in substantive research, and/or institutional contributions to this area of methodology.”

*The 2011 Herbert Simon Award*, of the Midwest Political Science Association and the Midwest Caucus for Public Administration, for a scholar who has made a significant career contribution to the scientific study of bureaucracy.

Fellow, *John Simon Guggenheim Memorial Foundation*, 2007-2008.

Fellow, *Radcliffe Institute for Advanced Study*, Harvard University, Cambridge, Massachusetts, 2007-2008.

*The 2007 Eliot Freidson Award for Best Publication*, Medical Sociology Section, American Sociological Association. With Elizabeth Armstrong and Marie Hojnacki.

*The 2006 Richard Neustadt Award* for Best Reference Work on the Presidency, for *Institutions of American Democracy: The Executive Branch*. [shared] (Daniel Carpenter, “The Evolution of National Bureaucracy in the United States,” Chapter Two; edited by Joel Aberbach and Mark Peterson.)

*Robert Wood Johnson Foundation Investigator Award in Health Policy Research*, 2004-2006, for “Reputation and Regulation: A Study of Pharmaceutical Policy at the FDA.”

Fellow, *Center for Advanced Study in the Behavioral Sciences*, Stanford, California, 2003-2004

*The 2002 Gladys Kammerer Award of the American Political Science Association*, for the best book on U.S. national policy published in 2001 (*The Forging of Bureaucratic Autonomy*).

*The 2002 Charles Levine Award* of the International Political Science Association, for the best book on public administration and public policy published in 2001 (*The Forging of Bureaucratic Autonomy*).

*The 2001 Pi Sigma Alpha Award*, for Best Paper of the 2000 Midwest Political Science Association convention, for “Groups, the Media, and Agency Waiting Costs: The Political Economy of FDA Drug Approval.”

## *Carpenter Curriculum Vitae*

*Martha Joynt Kumar Award for Best Convention Paper on the Presidency Delivered at the 1999 American Political Science Association Meetings*, for “Institutional Change in a System of Separated Powers,” with Keith Whittington.

*Robert Wood Johnson Foundation Scholar in Health Policy Research*, 1998-2000, in residence at the University of Michigan.

The 1998 *Harold D. Lasswell Award* of the American Political Science Association, for the best dissertation on public policy completed in 1996 or 1997.

*The Herbert Kaufman Award*, for Best Paper presented in the Public Administration Section of the American Political Science Association annual meetings, August 1994, New York, NY; for “The Structural and Institutional Foundations of Bureaucratic Culture: Corporate Attachment at the U.S. Department of Agriculture, 1900-1932.”

*Brookings Institution Research Fellowship in Governmental Studies*, Academic Year 1994-1995, Washington, D.C.

*Alfred D. Chandler Dissertation Fellowship*, Harvard University (Graduate School of Business, Department of History, Department of Economics), Autumn 1994.

*Andrew Mellon Dissertation Fellowship*, 1993-1994 Academic Year.

*The Herbert Kaufman Award*, for Best Paper presented in the Public Administration Section of the American Political Science Association annual meetings, August 1992, Chicago, IL; for "Presidential Budgetary Influence in Federal Regulation: The Phenomenon of Loose Control."

*Best Paper Award*, Executive Politics Section, American Political Science Association annual meetings, August 1992, Chicago, IL; for "Presidential Budgetary Influence in Federal Regulation: The Phenomenon of Loose Control."

*University of Chicago Full Fellowship*, 1989-1993, awarded April 1989.

*Alpha Sigma Nu*, Jesuit National Honor Society, Georgetown University chapter, Member (1987-89) and President (1988-89).

*Georgetown University Department of Government Award*, May 1989, given to the outstanding senior in the undergraduate Honors Government Program.

## **Research and Teaching Grants**

*Open Philanthropy*, for *Approval Regulation for Frontier Artificial Intelligence: Investigating Pitfalls, Plausibility, Optionality*, \$75,000.

*Greenwall Foundation*, for *The Ethics of Implementing Coronavirus Vaccines: Independence, Transparency, and Social Confidence*, \$199,656 over a 2-year period (July 1, 2021 to June 30, 2023). Originated grant idea. P.I.: William Feldman, M.D., Brigham and Women's Hospital.

## *Carpenter Curriculum Vitae*

*Russell Sage Foundation*, for “Inequality, Institutions, and the Making of Financial Policy” (with Susan Yackee), \$142,388 for two years (January 2018 – December 2019).

*Council on Library and Information Resources* (from Mellon Foundation), for “A Digital Archive of Native American Petitions in Massachusetts,” \$275,795 for two years (January 2016 – December 2017).

*Washington Center for Equitable Growth*, for “Political Inequality and Financial Rulemaking: A Collaborative Empirical Project for the Production of Data,” \$75,000 for two years (January 2016 – December 2017).

*National Institute on Drug Abuse, National Institutes of Health*, for “A Regulatory Framework for Substantial Equivalence Applications under the FSPTCA,” R21-DA036485-01, with Gregory Connolly. Co-Principal Investigator. \$450,285 for two years (October 2013 – October 2015; no-cost extension until October 2016).

*National Endowment for the Humanities*, PW-5105612, for “A Digital Archive of Massachusetts Anti-Slavery and Anti-Segregation Petitions,” \$260,231.00; project costs with sharing amount to \$435,570 (July 1, 2012 to June 30, 2015; no-cost extension until June 30, 2016). Principal Investigator; no salary support.

*Ewing Marion Kauffman Foundation*, planning grant for “Financial Regulation and the Financialization of the U.S. Economy.” \$25,000 (August-November 2014). Principal Investigator; grant housed at the Tobin Project, Cambridge, Massachusetts.

*Edmund J. Safra Center for Ethics*, “Clearinghouse Institutions for Conflict-of-Interest Issues in Medical Products: A Theoretical, Empirical and Policy Study.” Principal Investigator; with Lisa Lehmann, M.D., Eric Campbell, M.D., Steven Joffe, M.D. and Isaac Kohane, M.D. (all Harvard Medical School); \$298,962.76 for 24 months (September 2010-August 2012).

*Alfred Sloan Foundation* and *Russell Sage Foundation* (joint Alfred P. Sloan Foundation/Russell Sage Foundation Working Group on Consumer Finance and Behavioral Economics), “Toward a Consumer Choice Engine for Insurance Products,” and “Linking Risk Databases to Consumer Choice Engines: Toward Product Identifiers for Financial Informatics” (PI); with Tom Baker (University of Pennsylvania Law); \$67,000 (July 2010-July 2012).

*The Tobin Project on Economic Regulation*, “Capture-Proofing Economic Regulation” (with Steve Croley (University of Michigan Law) and David Moss (Harvard Business School)).

*National Endowment for the Humanities*, “We the People” Challenge Grant for the *Harvard University Initiative on the American Republic*: \$875,000 (July 2007 to July 2011); \$3,500,000 with matching. Principal Investigator. [Note: I received neither salary support nor research support from this grant.]

*CVS/Caremark Foundation*, “Adherence to Medication Regimens: An Interdisciplinary Study,” \$3,200,000 (September 2009 to September 2012), Co-Principal Investigator. Principal Investigator: William Shrank, M.D., Harvard Medical School. [Note: I received data access, and neither salary support nor research support, from this grant.]

## *Carpenter Curriculum Vitae*

*The Tobin Project on Economic Regulation*, “How Regulation Makes Markets: The Political Economy of Pharmaceutical Governance in the United States,” Summer 2009.

*Robert Wood Johnson Foundation Investigator Award in Health Policy Research*, for “Reputation and Regulation: A Study of Pharmaceutical Policy at the FDA”: \$275,000 (July 2004 to January 2007).

*National Science Foundation*, SES-0351048, for “Collaborative Research on Competition, Dynamism and Endogeneity in Agenda-Setting: An Analysis of Public Attention to Disease” (with Elizabeth Armstrong and Marie Hojnacki), 2004: \$425,227 for 24 months (no-cost extensions until 2009).

*National Science Foundation*, SES-0076452, for “Formal and Empirical Analyses of Bureaucratic Delay: The Case of FDA Drug Review,” May 2000: \$221,283 for 24 months.

*Price Waterhouse Coopers Endowment for the Business of Government*, Research Grant, April 2000. For “Technology, Politics and Organizational Culture: The Acceleration of Drug Approval at the FDA.”

*Spring/Summer Research Grant Program*, Rackham School of Graduate Studies, University of Michigan, April 2000, for “Organizational Learning and the Timing of Bureaucratic Decisions: The Political Economy of FDA Drug Approval.”

*Robert Wood Johnson Foundation Scholar in Health Policy Research*, 1998-2000, in residence at the University of Michigan.

Princeton University Committee on Research in the Humanities and Social Sciences, 1996, 1997; Center for Domestic and Comparative Policy Studies, Princeton University, 1997.

State Historical Society of Iowa, 1997. “Cultural and Technological Change in Iowa Post Offices, 1900-1920: Sources and Implications.”

## **Teaching, Mentoring and Curriculum Awards**

*GSA Diversity and Inclusion Award*, December 2020, Graduate Students’ Association, Department of Government, Harvard University; for excellence in advancing diversity and inclusion in graduate mentoring.

Spring 2005: Harvard Committee on Undergraduate Education (CUE) Overall Professor Rating of 4.5 (out of 5) for “The Theory and Practice of Republican Government.” Letter of Commendation from FAS Social Sciences Dean, July 2005.

*Princeton University 250<sup>th</sup> Anniversary Fund for Innovation in Undergraduate Education*, April 1997 (joint proposal with Howard Rosenthal). University grant for development of computer program interface allowing undergraduate political science students to access and analyze historical data on congressional voting, budgetary politics, bureaucratic development, union membership and the like.

*Princeton University 250<sup>th</sup> Anniversary Fund for Innovation in Undergraduate Education*, May 1996 (joint proposal with Howard Rosenthal).

*Morton Grodzins Prize Lecturer*, 1993-94 Academic Year, Department of Political Science, The University of Chicago; for "The Development of American Political Institutions."

### **Teaching Interests and Experience [selected]**

The Early Development of American Political Institutions and Organizations, 1650-1860 (Harvard University Junior Seminar), Spring 2003, Fall 2009, Fall 2010, Fall 2012, Fall 2018.

- Fall 2009 enrollment: 5 students. Overall course rating: 4.8 [1-5 scale]. Overall professor rating: 5.0 [1-5 scale].
- Fall 2010 enrollment: 5 students. Overall course rating: 4.8 [1-5 scale]. Overall professor rating: 5.0 [1-5 scale].
- Fall 2012 enrollment: 10 students. Overall course rating: 4.6 [1-5 scale]. Overall professor rating: 4.7 [1-5 scale].
- Fall 2018 enrollment: 9 students. Overall course rating: 4.6 [1-5 scale]. Overall professor rating: 4.8 [1-5 scale].

The Theory and Practice of Republican Government (Harvard University General Education Course).

- Spring 2005 enrollment: 56 students. Overall course rating: 3.83 [1-5 scale]. Overall professor rating: 4.50 [1-5 scale].
- Spring 2007 enrollment: 56 students. Overall course rating: 3.91 [1-5 scale]. Overall professor rating: 4.13 [1-5 scale].
- Spring 2009 enrollment: 30 students. Overall course rating: 3.7 [1-5 scale]. Overall professor rating: 4.1 [1-5 scale].
- Spring 2011 enrollment: 25 students. Overall course rating: 4.05 [1-5 scale]. Overall professor rating: 4.36 [1-5 scale].
- Spring 2013 enrollment: 53 students. Overall course rating: 4.08 [1-5 scale]. Overall professor rating: 4.58 [1-5 scale].
- Spring 2014 enrollment: 50 students. Overall course rating: 4.27 [1-5 scale]. Overall professor rating: 4.57 [1-5 scale].
- Fall 2017 enrollment: 125 students. Overall course rating: 3.88 [1-5 scale]. Overall professor rating: 4.48 [1-5 scale].
- Fall 2018 enrollment: 20 students. Overall course rating: 3.8 [1-5 scale]. Overall professor rating: 4.6 [1-5 scale].
- Fall 2020 enrollment: 37 students. Overall course rating: 4.33 [1-5 scale]. Overall professor rating: 4.7 [1-5 scale].

Bureaucratic Politics: Government, Economic, Social and Military Organizations (Undergraduate course, Harvard University: Fall 2006, Spring 2009, Spring 2010, Fall 2016). Website at (<http://people.bmdc.harvard.edu/~dcarpent/burpols/burpols.htm>).

- Fall 2006 enrollment: 25 students. Overall course rating: 4.2 [1-5 scale]. Overall professor rating: 4.4 [1-5 scale].
- Spring 2009 enrollment: 20 students. Overall course rating: 3.8 [1-5 scale]. Overall professor rating: 4.3 [1-5 scale].

## *Carpenter Curriculum Vitae*

- Spring 2010 enrollment: 16 students. Overall course rating: 4.56 [1-5 scale]. Overall professor rating: 4.83 [1-5 scale].
- Fall 2016 enrollment: 18 students. Overall course rating: 4.6 [1-5 scale]. Overall professor rating: 4.9 [1-5 scale].

The Political Economy of Government Regulation (Fall 2001, University of Michigan; Spring 2006, Harvard University) (<http://people.hmdc.harvard.edu/~dcarpent/gregclass.htm>). I have designed and maintained this course home page; now at Harvard.

Approaches to Politics [introductory graduate pro-seminar in political science (Fall 2010, Fall 2013, Fall 2014)]

The Politics and Behavior of Bureaucratic Organizations, Graduate Course, Department of Government, Harvard University, Fall 2004, Fall 2006, Fall 2008, Fall 2012.

Introduction to American Politics (Spring 1997 @ Princeton University to Winter 2002 @ University of Michigan). Spring 2006, Harvard University: Government 30 [Course web page: [people.hmdc.harvard.edu/~dcarpent/govt30webhome.html](http://people.hmdc.harvard.edu/~dcarpent/govt30webhome.html)].

Most recent enrollment: 375 students (Winter 2001)

Course Web Pages: (<http://www.princeton.edu/~pol328/pol220.html>). Web page no longer active.

(<http://www-personal.umich.edu/~dancarp/ps111.html>). Web page no longer active.

Graduate Seminar in the Development of American Political Institutions, Winter 2001, Spring 2007.

Regulation and Bureaucracy in America, Princeton University Junior Workshop, Fall 1995, Fall 1996.

Development of American Political Institutions, 1865-1945

(<http://www-personal@umich.edu/~dancarp/ugapd/ugapd.htm>) (Web page no longer active.)

Graduate Seminar in Bureaucratic Politics, Princeton University, Spring 1998.

Graduate Introductory Seminar in American Politics

The Political Environment of Policy Analysis, Fall 2000, Fall 2002

Stochastic Models of Political Economy, Winter 2002. Possible Spring 2007, Harvard.

Frontiers in American Politics (Harvard University Graduate Seminar), Spring 2003.

### Other Teaching Interests:

Regulatory Politics and Policy

Probability Theory/Stochastics

Organization Theory

Budgetary Politics and Policy

### **Senior Theses Advised [last 12 years]** [theses are graded and awarded prizes by those other than the advisor]

Jonathan Warsh (Government, Harvard '11), "Disease Politics at the National Cancer Institute," *magna cum laude*.

Andrew Shindi (Government, Harvard '13), "The Inadvertent Inheritors of the Moon and the Stars: Accidental Presidents and the Vice Presidency," *summa cum laude*. Hoopes Prize.

James Dickerson (Government, Harvard '14), "Why Surrogates?" *Magna cum laude*.

Shannon Grammel (Government, Harvard '14). "Old Soldiers Never Die, They Just Join the Court: Prior Military Service and the Doctrine of Military Deference on the Supreme Court," *summa cum laude minus*. James Gordon Bennett Prize for best essay about American domestic or foreign policy on an issue of contemporaneous interest.

Thomas Hwang (Harvard '14), "Studies in Biomedical Innovation," *summa cum laude*. Hoopes Prize.

## *Carpenter Curriculum Vitae*

Parker Davis (Government, Harvard '15), "Disease Politics and Research Funding at the National Institute of Health."  
Cameron Thariani (Social Studies, Harvard '17), "Event Studies of Bank Participation and Federal Rulemaking: Capital Adequacy Rules in Dodd-Frank," *summa cum laude minus*.  
Jacob Kamenker (Statistics, Harvard '17), "Alternative Population Models for Veterans Affairs," *summa cum laude*. Hoopes Prize.  
Sarah Anderson Wu (Government, Harvard '18)  
Kyle McFadden (Government, Harvard '18)  
Kent Haeffner (Government, Harvard '18)  
Asia Taylor Stewart (Government and Women, Gender and Sexuality Studies, Harvard '18). "(In)visibly Queer: Assessing Disparities in the Adjudication of U.S. LG BTQ Asylum Cases."  
Distinguished Thesis Prize from Ethnicity, Migration and Rights.  
Ian Lutz (Social Studies, Harvard, '21)

**Mentoring, Dissertation Committees and Graduate Collaborators/Advisees** [includes those for whom I have served on dissertation committees, for whom I have written letters, or whom I have otherwise mentored]

Steven Croley (Princeton University, dissertation committee; now University of Michigan Law School)  
Sanford Clark Gordon (Princeton University, dissertation committee; first placement Ohio State University; now New York University)  
Susan Moffitt (University of Michigan; first placement and presently at Brown University)  
Marek Steedman (University of Michigan, dissertation committee; now University of Southern Mississippi)  
Michael Duenes (University of Michigan; now University of Minnesota)  
Patricia Keenan (Health Policy, Harvard University, dissertation committee; first placement and presently at Yale University School of Medicine)  
Shanna Rose (Political Economy, Harvard University, dissertation committee; now NYU Wagner School)  
Ryan T. Rynbrandt (University of Michigan, dissertation committee; now Collin County Community College, Texas)  
Michael Minta (University of Michigan; now University of Minnesota)  
Jerry Woonsong Kim (Harvard Business School, dissertation committee; now Columbia University School of Business)  
Liam Schwartz (Government, Harvard University; now Harvard University)  
Mikhail Pryadilnikov (Government, dissertation committee, Harvard University)  
Colin Moore (Government, Harvard University, chair; first placement and presently at University of Hawaii)  
Brian Feinstein (Government, Harvard University, chair; now assistant professor, Department of Legal Analysis, Wharton School, University of Pennsylvania)  
Justin Grimmer (Government, Harvard University; first placement Stanford University; now Professor of Political Science at Stanford University)  
Eric Lomazoff (Government, Harvard University, chair; first placement University of Oklahoma, now Villanova University)  
Clayton Nall (Government, Harvard University, dissertation committee; first placement at Stanford University; currently Assistant Professor of Political Science, University of California, Santa Barbara)

*Carpenter Curriculum Vitae*

Eitan Hersh (Government, Harvard University; first placement at Yale University; presently Associate Professor of Political Science at Tufts University)

Jacqueline Chattopadhyay (Harvard University, dissertation committee; first placement and presently at University of North Carolina, Charlotte)

Chris Carrigan (Public Policy Ph.D. Program, Harvard University, chair; first placement and presently at George Washington University)

Laurence Tai (Public Policy Ph.D. Program, Harvard University, chair; as of 2015, Law and Economics Program, NYU Law)

Ariel Dora Stern (Public Policy Ph.D. Program, Harvard University, dissertation committee; first placement and presently assistant professor at Harvard Business School)

Jessica Blankshain (Political Economy and Government Ph.D. Program, Harvard University, chair; first placement and presently at U.S. Naval War College)

Sam Rosenberg (Department of History, Harvard University, dissertation committee; first placement and presently, assistant professor of political science, Colgate University)

Vanessa Williamson (Government, Harvard University, dissertation committee; first placement and presently at Brookings Institution)

Peter Conti (Department of History, Princeton University; first placement and presently at Wharton School, University of Pennsylvania)

Benjamin Schmeer (Government, Harvard University, dissertation committee; first placement at Florida State University; currently assistant professor of public policy, Harvard Kennedy School)

Joseph Luna (Government, Harvard University, dissertation committee; now U.S. Department of Energy)

Melissa Sands (Government, Harvard University, dissertation committee; first placement, Department of Political Science, UC-Merced; now Assistant Professor of Political Science, London School of Economics and Political Science)

Peter Bucchianeri (Government, Harvard University, dissertation committee)

Jesse Gubb (Government, Harvard University, dissertation committee, chair)

Chase Foster (Government, Harvard University, dissertation committee)

Carl Gerschenson (Department of Sociology, Harvard University, dissertation committee)

Tess Wise (Government, dissertation committee, Harvard University; first placement; Visiting Assistant Professor, Amherst College; current placement, assistant professor (tenure-track), Wake Forest University)

Tobias Resch (Government, Harvard University, chair, dissertation committee)

Nicholas Lillios (Government and Social Policy, Harvard University, chair, dissertation committee)

Genevieve Pham-Kanter (collaborator and mentor; currently Assistant Professor Medicine, University of Pennsylvania)

Brian Libgober (Government, Harvard University, chair, dissertation committee; first placement (start Fall 2020), assistant professor (tenure-track), UC San Diego School of Global Policy and Strategy; currently Assistant Professor of Political Science, Northwestern University)

Daniel Benamouzig (Sciences Po, Paris, France – comité d'habilitation à diriger les recherches (HDR), « garant » ; currently Directeur de recherche CNRS, Centre de Sociologie des Organisations, and Associate Professor, Sciences Po, Paris, France)

Angie Bautista-Chavez (Government, Harvard University, dissertation committee co-chair; Radcliffe Institute Graduate Student Fellow, 2019-2020; first placement, Assistant Professor, School of Politics and Global Studies, Arizona State University)

Sarah James (Government and Social Policy, Harvard University, dissertation committee; Radcliffe Institute Graduate Student Fellow, 2020-2021)

Shom Mazumder (Government, Harvard University, chair, dissertation committee; currently Facebook)

## *Carpenter Curriculum Vitae*

Timothy Flynn Wright (Political Science, MIT; now Colonel, United States Army)  
Elliot Stoller (Organizational Behavior [HBS], Harvard University; dissertation committee; first placement; University of North Carolina School of Public Policy)  
Guillermo Toral (Political Science, MIT; advisor and was for a time on dissertation committee; currently Assistant Professor of Political Science, Vanderbilt University)  
Ariel White (Assistant Professor of Political Science, MIT)  
Amy Lakeman (Government, Harvard University; dissertation committee)  
Riley Carney (Government, Harvard University)  
François Dedieu (Université de Paris-Dauphine, France – comité d'habilitation à diriger les recherches (HDR), rapporteur)  
Nicholas Short (Government, Harvard University, chair, dissertation committee)  
Tana Johnson (LaFollette School of Public Affairs, University of Wisconsin)  
Meredith Dost (Government, Harvard University, chair, dissertation committee)  
Constantin Brissaud (Université de Strasbourg, dissertation committee (defense 2019); visiting scholar, Harvard University (2022)).  
Thomas Lépinay (Université Paris 1 Panthéon-Sorbonne, Paris, France), dissertation committee (defense 2020)  
Gwen Calais-Haase (Government, Harvard University)  
Jacob Waggoner (Government, Harvard University)  
Christopher Kenny (Government, Harvard University)  
Laura Royden (Government, Harvard University; dissertation committee)  
Steven Rashin (currently postdoctoral fellow, University of Texas, McCombs Business School)  
Devin Judge-Lord (Ph.D., University of Wisconsin, Madison, 2021; currently Harvard University Center for the Environment)  
Elizabeth Thom (Government and Social Policy, Harvard University; dissertation committee)  
Kirsten Walters (Government, Harvard University; dissertation committee)  
Angelo Dagonel (Government, Harvard University; dissertation committee)  
Noah Quinn Shenker (Government, Harvard University)  
Brian Highsmith (Government and Social Policy, Harvard University)  
Victoria Henley (Captain, U.S. Army and Political Science, MIT)  
Mariana Costa Silveira (PhD candidate in Public Administration and Government at Fundação Getulio Vargas (FGV), University of Sao Paulo, Researcher at Núcleo de Estudos da Burocracia (NEB))

## **Department Activities/Service [selected]**

Search Committees, Department of Government, Harvard University: American Politics (Fall 2002), Political Psychology (Spring 2004 - present), Berens Chair in American Political Traditions and Institutions (chair, 2006-2008), American Politics Senior Appointments (2006-2009), International Relations Senior Appointment (2012-13); Government Senior Appointment (2016-2017).

Search Committees, Department of History, Harvard University: Targeted Search (2006), Indigenous History of North America (2016-2017).

Review and Promotion Committees, Department of Government: Political Theory (2009), American Politics (2004-2005, 2010-2011, 2017-2018, 2018-2019).

Director of Graduate Studies, Department of Government, Harvard University (July 2004-2006)

Member and Fellow, Institute for Quantitative Social Sciences (IQSS), Harvard University, July 2002-present.

Chair, American Political Development Search Committee, Department of Political Science, University of Michigan, 2001-2002.

Center for Political Studies Advisory Committee and Center for Political Studies Review Committee, Institute for Social Research, University of Michigan, 2001-2002

Political Science Strategic Plan Drafting Committee, University of Michigan, 2001-2002

Graduate Placement Coordinator, Department of Political Science, University of Michigan, 2000-2002

Chair, American Politics Subfield, Department of Political Science, University of Michigan, Fall 2000, Fall 2001.

#### **University Activities/Service [selected]**

Director, Social Sciences Program, Radcliffe Institute for Advanced Study, 2013-2020, 2021-2022.

Harvard University Benefits Committee, member (2013 - present), chair (2021-present), Harvard University, appointed by Provost Alan Garber.

Member, Provost's Council on Native American and Indigenous Issues, Harvard University, appointed by Provost Alan Garber, 2014 - .

Director, Center for American Political Studies (CAPS), Harvard University, (July 2006-July 2015). Executive Steering Committee, July 2002-July 2006.

Dean's Fund for Promising Research, Competitive Award Committee, 2016-2020 (chair, 2018-2020).

Founder and Co-Director, Harvard University Program on Medications and Society (MEDSOC), 2007-2012.

Social Science Priorities Working Group, appointed by Dean of Social Sciences Stephen Kosslyn, Faculty of Arts and Sciences, 2009.

Chair, Executive Committee for Dean's Space, Center for Government and International Studies, 2009-2011, 2012-2014.

Social Science Advisory Council (SSAC), advisory council to Divisional Dean David Cutler, Faculty of Arts and Sciences, Harvard University (2004-2007).

Administrative Board, Charles Warren Center for North American History, 2008-

Undergraduate Program Coordinator, Health Policy Certificate Program, Harvard University (May 2004-2007).

Member, Standing Committee on Health Policy, Harvard University, 2003-present.

Member, Standing Committee on Political Economy, Harvard University, 2003-present.

### **Professional and Civic Activities/Service [selected]**

Editorial Boards: *Political Science Quarterly* (2009-present); *Journal of Politics* (2004-2009); *Studies in American Political Development* (2003-2007, 2013 - present); *American Politics Research* (2003-2012); *International Public Management Journal* (2005-present); *Regulation and Governance* (2006-present); *Journal of Public Administration Research and Theory* (2009-present); *Journal of Health Politics, Policy and Law* (2011-present); *Journal of Public Policy* (2014 – present); *Public Administration Review* (2015 – present).

Co-Editor, *Studies in American Political Development* (2007-2013). [Other Editors: Elizabeth Clemens and Scott James]

Member: American Political Science Association (lifetime member), Midwest Political Science Association (lifetime member), Organization of American Historians, American Sociological Association, Society for History of the Federal Government.

President-Elect, Politics and History Section (2023-2024), American Political Science Association

National Academy of Medicine (formerly IOM) Committee on Scientific Standards for Studies on Modified Risk Tobacco Products, 2011; Institute of Medicine, National Academy of Sciences. [Report published December 14, 2011; *Scientific Standards for Studies on Modified Risk Tobacco Products* (Washington: Institute of Medicine, 2011).]

National Academy of Medicine (formerly IOM) Committee on Understanding the Global Public Health Implications of Substandard, Falsified, and Counterfeit Medical Products, 2012; Institute of Medicine, National Academy of Sciences. [Report published February 13, 2013; *Countering the Problem of Falsified and Substandard Drugs* (Washington: Institute of Medicine, 2013).]

Amicus Curiae Brief to U.S. Supreme Court: *Wyeth v. Levine*, 2008-2009 Term; *Dollar General v. Choctaw Band of Indians*, 2015-2016 Term.

Consumer Finance Working Group, National Bureau of Economic Research (2009-).

National Advisory Committee, Robert Wood Johnson Foundation Center for Health Policy at the University of New Mexico, 2008-2013.

Behavioral Economics and Consumer Finance Working Group, Russell Sage Foundation and Alfred P. Sloan Foundation (2009-2015).

Regulatory Innovation Award Committee, Morrison & Forster LLP, 2010.

Member, Catholics in Alliance for the Common Good (2011 - ); Trout Unlimited (1996 - ).

Member and Secretary, Parish Pastoral Council, St. Joseph Parish, Belmont, Massachusetts (2006-2009).

National Academy of Sciences, Committee on Postal Reform and Reorganization, 2008.

Chair, Mary Parker Follett Prize Committee, Politics and History Section, American Political Science Association, 2016

Richard W. Leopold Prize Committee, Organization of American Historians, May 2006 – May 2008.

President, Midwest Public Administration Caucus, 2008-2009.

Charles H. Levine Award Committee for the best book in the field of public policy and administration, Summer 2003.

Nominating Committee, Social Science History Association (2002-2003).

Leonard D. White Award Committee for best dissertation in public administration (2000-2001).

Chair, Politics and History Section, American Political Science Association, APSA Conference 2000, with Professor Gerald Gamm of the University of Rochester.

Chair, Methods and Theory Section, Social Science History Association (SSHA) Conference 1999.

Council Member, Section on Politics and History, American Political Science Association, 1997-1999 (with Kenneth Finegold, Gerald Gamm and Elaine Swift).

Manuscript reviewer for *American Political Science Review*; *Studies in American Political Development*; *American Journal of Political Science*; *World Politics*; *Journal of Politics*; *American Sociological Review*; *New England Journal of Medicine*; *Journal of the American Medical Association*; *Science*; *Proceedings of the National Academy of Sciences*; *Journal of American History*; *Annals of Internal Medicine*; *Political Analysis*; *Journal of Theoretical Politics*; *British Journal of Political Science*; *Governance*; *Journal of Health Politics, Policy and Law*; *Bulletin of the History of Medicine*; *British Journal of Clinical Pharmacology*; *Clinical Pharmacology and Therapeutics*; *Journal of Oncology Practice*; *Social Studies of Science*; *Science, Technology and Human Values*; *Public Opinion Quarterly*; *Health Affairs*; *Journal of Policy Analysis and Management*; *Journal of Public Administration Research and Theory*; *International Public Management Journal*; *Public Administration*; *Journal of Law, Economics and Organization*; *Journal of the Gilded Age and Progressive Era*; *Journal of Public Economics*; *Journal of Law and Economics*; *Journal of Health Economics*; *Nature Reviews Drug Discovery*; *American Politics Research*; *Review of Industrial Organization*; *State Politics and Policy Quarterly*; *Political Research Quarterly*; *Journal of Urban Affairs*; *Nature Reviews Drug Discovery*; University of Michigan Press; Princeton University Press; Yale University Press; Cambridge University Press.

Proposal and report reviewer for National Science Foundation, National Academy of Medicine (National Academy of Sciences), Center for Advanced Study in the Behavioral Sciences, Institut national du cancer (France), Fonds de recherche du Québec - Société et culture (FRQSC), Israel Science Foundation, Netherlands Organization for Scientific Research, Robert Wood Johnson Foundation, Radcliffe

Institute for Advanced Study, Alfred W. Sloan Foundation, Russell Sage Foundation; Laura and John Arnold Foundation.

### **Invited Lectures and Presentations (selected)**

“Democracy by Petition: Popular Politics in Transformation, 1790-1870”

- U.S. National Archives, June 2021
- Yale Law School, October 2021
- University of Michigan (Department of Political Science and School of Law), November 2021
- Princeton University Center for the Study of Democratic Politics, February 2022
- LaFollette School of Public Affairs, University of Wisconsin-Madison, March 2022

“The Origins of Legislative Jurisdictions: Petitions and Committee Formation in Revolutionary Virginia and the Early U.S. House,” American Economic Association (joint with ASSA), January 2017; SPEA, Indiana University, January 2017.

“Preventing Regulatory Capture,” with Senator Sheldon Whitehouse, Senator Mike Lee and Senator Elizabeth Warren, at *Preventing Regulatory Capture Workshop*, United States Senate and Administrative Conference of the United States, March 2, 2016.

“The Digital Archive of Antislavery and Anti-Segregation Petitions in Massachusetts,” for *Freedom’s Agenda*, Black History Month Commemoration Day, with Gov. Charlie Baker, Lt. Gov. Kathryn Polito, Senate President Stanley Rosenberg, and the Massachusetts Black & Latino Caucus, Massachusetts State House, February 22, 2016.

« La pétition antiesclavagiste américaine au 19<sup>ème</sup> siècle -- Leçons et possibilités de recherches comparatives », presented at conference « Pétitionner : Appel aux Pouvoirs Législatifs aux 19<sup>ème</sup> et 20<sup>ème</sup> siècles », Assemblée Nationale and Université Marne-la-Vallée, Paris, France, March 2013.

“Approval Regulation and Epidemiology for Consumer Finance,” presented at Conference on “The Political Economy of Financial Regulation,” George Washington University School of Law, February 2013.

“Recruitment by Petition: Historical, Statistical and Mathematical Dimensions,” keynote address for Augustin Cournot Doctoral Days, Université de Strasbourg, France, May 9, 2012.

“Gender and Recruitment by Petition: Women Canvassers in Antislavery Petitioning, 1833-1847.”

- Columbia University American Politics Workshop, March 2008.
- Princeton University Center for the Study of Democratic Politics, March 2011.
- École des Hautes Études en Sciences Sociales, Paris, March 2012.
- Institut d’Études Politiques, à l’Université Lyon 2, Lyon, March 2012.

“Reputation and Regulatory Power: The Role of FDA in a Global Pharmaceutical Realm.”

- École d'été GATSEG, La Londe les Maures, Hyères, France. August 2011.
- School of Governance, Utrecht University, Netherlands, January 2012.
- “Reputation and Power: Can the FDA Regulate the Tobacco Industry?” Edward M. Kennedy Memorial Lecture and *Conference on The Family Smoking Prevention and Tobacco Control Act: Regulatory Science and the Tobacco Industry*, Harvard School of Public Health, June 22, 2011.
- “The Behavioral and Institutional Dimensions of Meta-Data: How Product Identifiers and Choice Engines Can Make Government Data Useful for Citizen Choice”; “Regulators, the Regulated, and Third Parties: Incentives and Surprises”; White House Office of Science and Technology Policy (OSTP), August 2010; Kenan Center for Ethics, Duke University, December 2010.
- “Approval Regulation and the Endogenous Provision of Confidence: Theory and an Analogy to Licensing, Safety and Financial Regulation.” With Justin Grimmer and Eric Lomazoff.
  - Presented at University of Pennsylvania Law School, October 2009.
  - Presented at Conference on Consumer Finance, Cleveland Federal Reserve Bank, September 2009.
  - Presented at RWJF Health Policy Seminar, University of Michigan, December 2009.
- “Institutional Strangulation: Regulatory Politics and Financial Reform in the Obama Administration,” Oxford University, Nuffield College, March 2010.
- “Bioequivalence: The Regulatory Career of a Medical Concept”
  - Presented at the Pharmacy in History Conference, American Institute for the History of Pharmacy, Madison, Wisconsin, October 2008.
  - Presented at the Science, Technology and Public Policy Program of the University of Michigan, December 2009.
- “Reputation and Power Contested: Challenges to the FDA from Cancer and AIDS Constituencies, 1977-1992,” University of Michigan Law School, December 2009.
- “Approval Regulation: Lessons for Consumer Protection in Finance,” Federal Reserve Bank of Cleveland, September 10-11, 2009.
- “Confidence Games: How Does Regulation Constitute Markets?” The Tobin Project Group on Economic Regulation, Inaugural Conference at White Oak, *Toward a New Theory of Economic Regulation*, February 2008.
- “Gatekeeping and the Faces of Regulatory Power: Law, Rules, and Concept Formation in the Government of Pharmaceuticals, 1960-1980,” Vanderbilt Conference on the Historical Foundations of the Administrative State, Vanderbilt University Law School, September 2007.
- “The Continuous Crystallization of an Organizational Reputation: The FDA in Public, Scientific and Congressional Audiences,” plenary session, Conference on Risk and Its Regulation, Center for the Analysis of Risk and Regulation (CARR), London School of Economics, London, March 2007.
- “Reputation and Regulation: Beyond Public and Private Interest Approaches,” keynote address and book manuscript workshop, European Consortium for Political Research (ECPR), Bath, United Kingdom, September 2006.
- “User Fees and FDA Drug Review: Analysis and Policy Options,” presented to the FDA-Maryland Executive Education Program, June 2005; FDA Regulatory and Compliance Symposium, Harvard University, August 2006; Scientific Knowledge and Public Policy Program (SKAPP) conference on “Strengthening the FDA,” George Washington University, March 2007.
- “The Pure Food and Drugs Act of 1906 and Its Legacies.” Presented at the FDA Centennial Science Forum, Washington Convention Center, Washington, D.C., April 2006.
- “The Emergence and Crystallization of an Organizational Identity: Pharmaceutical Regulation at the FDA, 1947-1963.” “The Ambiguous Emergence of U.S. Pharmaceutical Regulation, 1947-

- 1963.” Stanford University Law School, March 2006; Yale American Politics Seminar, January 2006; Rutgers-RWJ Health Policy Seminar, January 2006; Boston University American Political History Seminar, Fall 2005.
- “Regulation and the Framing of Tragedy: The Food, Drug and Cosmetic Act of 1938.” Presented at Princeton University American Political Development Seminar, April 2005.
- “Regulatory Error under Two-Sided Uncertainty. OR, The Political Economy of Vioxx,” BU-Harvard-MIT Health Economics Seminar, February 23, 2005. University of Chicago Health Economics Seminar, April 2005; Kellogg School of Business, Managerial Economics and Decision Sciences, Political Economy Seminar, April 2005.
- “From the Lab to the Pill Bottle: The Reputation and Power of the FDA,” American Medical Association Public Lecture Series, Chicago, Illinois, October 2004.
- “A Simple Theory of Placebo Learning,” RWJ Core Seminar in Health Policy, Harvard Medical School, March 2004; Statistics Seminar, Center for Advanced Study in the Behavioral Sciences, April 2004.
- “Approval Regulation with Endogenous Submissions: Theory and Evidence from U.S. Pharmaceuticals.” Harvard Economics Industrial Organization Workshop, March 2004; Stanford GSB Political Economy Seminar March 2004.
- “The Petition as a Tool of Recruitment: Evidence from the Abolitionists’ Congressional Campaign.” Yale University Conference on Crafting and Operating Institutions, April 2003; Stanford University American Empirical Seminar, December 2003; Stanford GSB Organizations Seminar, January 2004.
- “Early Entrant Protection in U.S. Pharmaceutical Regulation.” American Politics Workshop, Columbia University, February 2003; Yale University [Institute for Social and Political Studies,], February 2003
- “Protection without Capture: Product Approval by a Politically Responsive, Learning Regulator,” Michigan State University Methods Workshop, May 2002
- “Resources and the Decline in FDA Approval Times,” University of North Carolina, Chapel Hill, April 2002
- “Why Do Bigger Firms Receive Faster Drug Approvals?” Harvard-MIT Seminar in Positive Political Economy, March 2001
- “The Political Economy of FDA Drug Approval,” Carnegie Mellon University and University of Pittsburgh, February 2000; Pennsylvania State University, February 2000
- “Protection without Capture: Product Approval by a Political Responsive, Bayesian Regulator,” Public Management Conference, Texas A&M University, December 1999
- Harvard University, Center for the Study of American Politics, October 1999
- University of Chicago, May 1998, December 1998, January 1999, March 2000
- University of Michigan Institutions Seminar, January 1998
- University of Iowa, November 1997
- Graduate School of Business, Stanford University, March 1997
- California Institute of Technology, March 1997
- University of Rochester, February 1997

## **Selected Research and Employment Positions**

## *Carpenter Curriculum Vitae*

Data Analyst for Professor Wayne Baker, Department of Sociology and Graduate School of Business, The University of Chicago, Spring and Summer 1993. Conducted dynamic network analysis of patterns of cooperation among investment banks underwriting loans in seven industries from 1987 to 1993.

Research Assistant for Professor Gary Orfield, 1991. Contributed to plaintiff's brief for the Supreme Court case *Freeman v. Pitts*, investigating the association between residential choice and school racial composition.

Policy Analyst, Office of Policy Development and Research, Department of Housing and Urban Development, Washington, D.C., Summer 1991. Conducted statistical research into federal housing policy using American Housing Survey (AHS) and Multifamily Tenant Characteristics System (MTCS) data.

Budget and Freedom of Information Act (FOIA) Analyst, Communications and Public Affairs Department, Pension Benefit Guaranty Corporation, Washington, D.C., Summer 1988. Merit Award for outstanding work, August 1988.

## **Languages**

Ancient Greek (received "High Pass" in University of Chicago language qualifying examination, 1991), French (fluent after coffee or wine, occasional writing and public lectures), Spanish (reading), German (two years, minimal reading).